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Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

		(Only for new nonprovisional applications under 37 GFR 1.53(b))							
Attori	ney Docke	t No. <u>5543P004</u>							
	_{num 12} characters) Named Inv	rentor J.J. Garcia-Luna-Aceves							
Title:	Name of Associate Appropriate								
Expre	ess Mail La	abel No. EL617184959US							
ADDF	RESS TO:	Assistant Commissioner for Patents Box Patent Application Washington, D. C. 20231							
		ELEMENTS apter 600 concerning utility patent application contents.							
1.	X	x Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original, and a duplicate for fee processing)							
2.		Applicant Claims Small Entity Status. (37 CFR 1.27)							
3.	<u>x</u>	y Specification (Total Pages 42) (preferred arrangement set forth below) - Descriptive Title of the Invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure							
4.	<u> x</u>	Drawings(s) (35 USC 113) (Total Sheets <u>5</u>							
5.	<u>x</u>	a Newly Executed (Original or Copy) b Copy from a Prior Application (37 CFR 1.63(d))							
		c. <u>x</u> Unsigned.							
6.		Application Data Sheet. (37 CFR 1.76)							
7.		CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)							
8.	(if applicable	Nucleotide and/or Amino Acid Sequence Submission e, all necessary) Computer Readable Form (CRF)							
	b	Specification Sequence Listing on iCD-ROM or CD-R (2 copies), or							

Statement verifying identity of above copies

9. 10.							
		Assignment Papers (cover sheet & documents(s)) a. Separate 37 CFR 3.73(b) Statement (where there is an assignee)					
	X	b. Power of Attorney					
11.		English Translation Document (if applicable)					
12.		a. Information Disclosure Statement (IDS)/PTO-1449					
		b. Copies of IDS Citations					
13.	Preliminary Amendment						
14.	X						
15.		Certified Copy of Priority Document(s) (if foreign priority is claimed)					
16.	The state of the s						
17.		Other:					
18A.	If a COI	NTINUING APPLICATION, check appropriate box and supply the requisite information:					
	c	ontinuation Divisional Continuation-in-part (CIP)					
Of (whic	Prior App h is a	lication No.: Examiner Group Art Unit					
whic	h is a	continuation/ divisional/ CIP of prior application no) (List entire chain of priority)					
oath conti relied	or declar nuation of upon wh	ATION AND DIVISIONAL APPS only: The entire disclosure of the prior application, from which an ation is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be nen a portion has been inadvertently omitted from the submitted application parts.					
		ent under 37 CFR 3.73(b) for <u>continuing</u> application:					
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FEE TRANSMITTAL FOR FY 2001											
TOTAL AMOUNT OF PAYMENT (\$)											
Examiner Name Not Yet Assigned Attorney Docket No. 5543P004											
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METHOD OF PAYMENT (check one) 1. [x] The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:											
		Accoun Accoun	t Number <u>02-2666</u> t Name								
[x]	[x] Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17										
[]	Applica	nt claims	s small entity status. See 37 CFR 1.27	7 							
2.	Paymen	t Enclos	ced: Check Credit Card Money Order Other								
FEE CALCU	ILATION										
1. BASIC FILING FEE											
Large Entity											
Fee Fee		Fee (\$)	Fee Description	Fee Paid							
Code (\$) 101 710		(v) 355	Utility application filing fee	\$710							
106 320		160	Design application filing fee								
107 490		245	Plant filing fee								
108 710		355	Reissue filing fee								
114 150	214	75	Provisional application filing fee								
				SUBTOTAL (1) \$ 710.00							
2. EXTR	A CLAIM F	EES		Fee from							
		•	Extra Claims	below Fee Paid							
Total Claim	ı s 15		-20 ** = <u>0</u>	X <u>\$18</u> = <u>\$0</u>							
Independer		2	- 3** = <u>0</u>	X \$80 = \$0							
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		ly paid,	if greater; For Reissues, see belo	w.							
Large Entity	•										
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Code (\$)		(\$)	Fee Description								
103 1	_	9	Claims in excess of 20								
102 8		40	Independent claims in excess of 3								
104 27		135	Multiple dependent claim, if not paid **Reissue independent claims over o	original natent							
109 8		40 9	**Reissue claims in excess of 20 and	l over original patent							
110	0 210	3	Heissue Claims III excess of 20 and								
				SUBTOTAL (2) \$ 0.00							

FEE CALCULATION (continued) **ADDITIONAL FEES** 3. **Small Entity** Large Entity Fee Fee Fee Fee Fee Paid Code (\$) Fee Description Code (\$) Surcharge - late filing fee or oath 105 130 205 65 Surcharge - late provisional filing fee 127 50 227 25 or cover sheet Non-English specification 130 139 130 139 For filing a request for ex parte reexamination 147 2.520 147 2.520 Requesting publication of SIR prior to 920* 112 920* 112 **Examiner action** Requesting publication of SIR after 1,840* 113 1.840* 113 **Examiner action** Extension for reply within first month 55 115 110 215 Extension for reply within second month 216 195 390 116 Extension for reply within third month 445 890 217 117 Extension for reply within fourth month 695 218 1.390 118 Extension for reply within fifth month 228 945 1,890 128 **Notice of Appeal** 155 219 119 310 Filing a brief in support of an appeal 155 120 310 220 Request for oral hearing 221 135 121 270 Petition to institute a public use proceeding 1,510 1,510 138 138 Petition to revive - unavoidable 55 240 140 110 Petition to revive - unintentional 241 620 141 1.240 242 620 Utility issue fee (or reissue) 142 1,240 Design issue fee 143 440 243 220 Plant issue fee 144 600 244 300 **Petitions to the Commissioner** 130 122 130 122 Processing fee under 37 CFR 1.17(q) 50 50 123 123 **Submission of Information Disclosure Stmt** 126 180 126 180 Recording each patent assignment per 581 40 581 40 property (times number of properties) For filing a submission after final rejection 246 355 146 710 (see 37 CFR 1.129(a)) For each additional invention to be examined 355 249 149 710 (see 37 CFR 1.129(b)) Request for Continued Examination (RCE) 355 279 179 710 Request for expedited examination of a design 900 169 900 169 application Publication fee for early, voluntary, or normal 300 195 300 195 publication Publication fee for republication 300 196 300 196 Request for voluntary publication or republication 130 194 130 194 Processing fee under 37 CFR 1.17(i) 130 098 130 098 Acceptance of unintentionally delayed claim for priority _ 091 1,240 091 1,240 Other fee (specify) _ Other fee (specify) __ SUBTOTAL (3) \$ 0.00 *Reduced by Basic Filing Fee Paid SUBMITTED BY: Tarek N. Fahmi Typed or Printed Name: Date: Signature: 408.947.8200 Telephone Number: 41,402 Reg. Number:

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